

Type a plus sign (+) here → +

09/280,309

OO10/PTO Rev. 12/95	US Department of Commerce Patent and Trademark Office	Attorney Docket Number ARC 2366 CIP4
First Named Inventor GUITTARD, George V., et al.		
COMPLETE IF KNOWN:		
Application Number		
Filing Date		
Group Art Unit		
Examiner Name		

**DECLARATION**

Declaration ☒ Submitted with Initial Filing OR Declaration ☐ Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## METHOD FOR THE MANAGEMENT OF INCONTINENCE

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

US Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
08/806,773 08/706,576 08/445,849		February 26, 1997 September 5, 1996 May 22, 1995	5,840,754 5,674,895

☐ Additional US or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Firm Name: ALZA Corporation

Payor  
Number: 01-1173  
(if applicable)

Name	Registration Number	Name	Registration Number
Steven F. Stone	20,246	D. Byron Miller	30,661
Paul L. Sabatine	22,539	John A. Dhuey	26,265
Michael J. Rafa	38,740	Pauline Ann Clarke	29,783
Susan K. Thomas	39,780	Owen J. Bates	40,346

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

☒ Please direct all correspondence to:

Name:

Paul L. Sabatine

Address:

ALZA Corporation

Address:

950 Page Mill Road, P.O. Box 10950

City:

Palo Alto

State:

CA

Zip:

94303-0802

Country:

USA

Telephone:

(650) 494-5224

Fax:

(650) 496-8048

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

George V. Guittard

☐ A petition has been filed for this unsigned inventor.

Given

Name: George

Middle

Initial: V.

Family

Name: Guittard

Suffix:

Inventor's

Signature:

*George V. Guittard*

Date:

3/25/99

RESIDENCE

City:

Cupertino

State:

CA

Country:

U.S.A.

Citizenship:

U.S.A.

POST OFFICE ADDRESS

P.O. Box 10950

City:

Palo Alto

State:

CA

Zip:

94303-0802

Country:

U.S.A.

Applicant

Authority:

☒ Additional inventors are being named on supplemental sheet(s) attached hereto.

DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet	
Name of Additional Joint Inventor, if any: Francisco Jao			<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name: Francisco		Middle Initial:	Family Name: Ja		Suffix:
Inventor's Signature: <i>Francisco Jao</i>			Date: <i>March 25, 1999</i>		
RESIDENCE					
City: San Jose		State: CA	Country: U.S.A.		Citizenship: U.S.A.
POST OFFICE ADDRESS		P.O. Box 10950			
City: Palo Alto		State: CA	Zip: 94303-0802	Country: U.S.A.	
Applicant Authority:					
Name of Additional Joint Inventor, if any: Susan M. Marks			<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name: Susan		Middle Initial: M.	Family Name: Marks		Suffix:
Inventor's Signature: <i>Susan M Marks</i>			Date: <i>3/25/99</i>		
RESIDENCE					
City: San Jose		State: CA	Country: U.S.A.		Citizenship: U.S.A.
POST OFFICE ADDRESS		P.O. Box 10950			
City: Palo Alto		State: CA	Zip: 94303-0802	Country: U.S.A.	
Applicant Authority:					
Name of Additional Joint Inventor, if any: David J. Kidney			<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name: David		Middle Initial: J.	Family Name: Kidney		Suffix:
Inventor's Signature: <i>David Kidney</i>			Date: <i>3/22/99</i>		
RESIDENCE					
City: Palo Alto		State: CA	Country: U.S.A.		Citizenship: Ireland
POST OFFICE ADDRESS		P.O. Box 10950			
City: Palo Alto		State: CA	Zip: 94303-0802	Country: U.S.A.	
Applicant Authority:					
Name of Additional Joint Inventor, if any: <del>Francisco E. Gumucio</del> <i>Fernando</i>			<input type="checkbox"/> A petition has been filed for this unsigned inventor.		
Given Name: <del>Francisco</del> <i>Fernando</i>		Middle Initial: E.	Family Name: Gumucio		Suffix:
Inventor's Signature: <i>Fernando Gumucio</i>			Date: <i>3/25/99</i>		
RESIDENCE					
City: San Jose		State: CA	Country: U.S.A.		Citizenship: U.S.A.
POST OFFICE ADDRESS		P.O. Box 10950			
City: Palo Alto		State: CA	Zip: 94303-0802	Country: U.S.A.	
Applicant Authority:					

☐ Additional inventors are being named on supplemental sheet(s) attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **REVOCATION OF POWER OF ATTORNEY and APPOINTMENT OF NEW POWER OF ATTORNEY**

Application Number	to be assigned
Filing Date	herewith
First Named Inventor	George GUITTARD
Art Unit	to be assigned
Examiner Name	to be assigned
Attorney Docket Number	AR02366USACON3

I hereby revoke all previous powers of attorney given in the above-identified application:

☐ A Power of Attorney submitted herewith.

OR

☒ I hereby appoint the practitioners at Customer Number:

27777

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with Customer Number:

27777

OR

☐ Firm or Individual Name

Address

Address

City

Country

State

Zip

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name: Angela Nwaneri, Vice President Patent Law, ALZA Corporation

Signature: 

Date: 8/15/03

Telephone: (650) 564-2024

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: C mmissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: George GUITTARD, et al.Application No./Patent No.: 09/280,309 Filed/Issue Date: March 29, 1999Entitled: METHOD FOR THE MANAGEMENT OF INCONTINENCEALZA Corporation

(Name of Assignee)

, a

Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 9868, Frame 0097, or for which a copy thereof is attached.

**OR**

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/15/03  
Date  
(650) 564-2024  
Telephone number

Angela Nwaneri  
Typed or printed name  
[Signature]  
Signature  
V.P. Patent Law  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

04-07-1999

Form PTO-1595

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

US DEPARTMENT OF COMMERCE  
Patent and Trademark Office

EET

101002823

To the Honorable Assistant Commissioner  
Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies)

George V. Guittard  
Francisco Jao  
Susan M. Marks  
David J. Kidney  
Fernando E. GumucioAdditional name(s) of conveying  
party(ies) attached?☐ Yes ☒ No

## 2. Name and address of receiving party(ies)

Name: ALZA CORPORATION  
950 Page Mill Road  
PO Box 10950  
Palo Alto, CA 94303-0802Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Other☐ Change of NameExecution Date: March 22<sup>nd</sup> and March 25, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: Filed Herewith

A. Patent Application No.(s)

B. Patent No.(s)

Filed:

Additional numbers attached? ☐ Yes ☒ No

09-280309

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Attorney's Name: Paul L. Sabatine, Reg. No. 22,539

Name: ALZA Corporation  
Intellectual Property Dept. A1W  
950 Page Mill Road  
PO Box 10950  
Palo Alto, CA 94303-0802

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number: 01-1173

(Attached duplicate copy of this page if paying by deposit  
account)

## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of  
the original document.*Paul L. Sabatine, Reg. No. 22,539  
Attorney for Applicants

Signature

3/29/99

Date

Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Box Assignments  
Assistant Commissioner of Patents and Trademarks  
Washington, DC 20231

ASSIGNMENT  
(JOINT)

WHEREAS, we, George V. Guittard, Francisco Jao, Susan M. Marks, David J. Kidney, and Fernando E. Gumucio have made certain new and useful inventions in METHOD FOR THE MANAGEMENT OF INCONTINENCE, set forth in an application for Letters Patent of the United States; ☒ which is a non-provisional application filed herewith;

WHEREAS, ALZA Corporation (hereinafter referred to as "ALZA"), a corporation of Delaware, is desirous of acquiring the entire right, title and interest in and to the said inventions; the right to file applications on said inventions; and the entire right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications; in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon; and any rights of priority of said inventions, applications and patents.

NOW, THEREFORE, for one Dollar (\$1.00) and other valuable consideration, receipt of which is hereby acknowledged, we have agreed to and do hereby:

SELL, ASSIGN, TRANSFER AND SET OVER unto ALZA, its successors, legal representatives and assigns our entire right, title and interest in and to the above-mentioned inventions, the right to file applications on said inventions, and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, including provisional applications, divisions, continuations, and continuations-in-part of said applications, renewals, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, to the full end of the term or terms for which Letters Patent or Patents may be granted, including any rights of priority of said inventions, applications and patents; together with all claims for damages or other relief throughout the world by reason of misappropriation or other wrongful use or disposition of said invention, or infringement of said patents or patent applications, including the right to sue for, obtain, enforce and enjoy, in its own name, any and all remedies that may be available;

TO BE HELD AND ENJOYED by ALZA, its successors, assigns and legal representatives as fully and entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made; and

WE HEREBY COVENANT AND AGREE for ourselves and our heirs, executors and legal representatives, to assist ALZA, its successors, assigns and legal representatives in the prosecution of said applications, and in any reissue, reexamination, interference or other legal or administrative proceeding, which may arise involving said invention, said patent applications, or said patents, and to execute without further consideration, upon request by ALZA, its successors, assigns, or legal representatives all divisional, continuing, reissue, term extension or other applications for patents in any country, as well as such other documents that may be used to fully secure to ALZA, its successors, assigns and legal representatives the rights, titles and interests granted herein; and

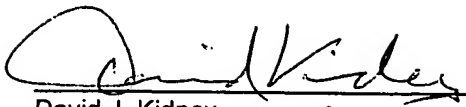
WE HEREBY AUTHORIZE AND REQUEST the Commissioner of Patents and Trademarks, of the United States of America to issue any and all United States patents which may be granted upon said United States applications or any part of them, or upon said inventions or any part thereof, to ALZA Corporation.

IN WITNESS WHEREOF, We have each hereunto set our hands.

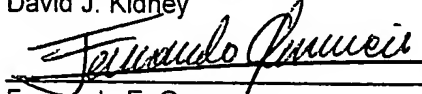
George V. Guittard this 25<sup>th</sup> day of March 1999; and  
George V. Guittard

Francisco Jao this 25<sup>th</sup> day of March 1999; and  
Francisco Jao

Susan M. Marks this 25<sup>th</sup> day of March 1999; and  
Susan M. Marks

 this 22<sup>nd</sup> day of March 1999; and

David J. Kidney

 this 25<sup>th</sup> day of March 1999, respectively.

Fernando E. Gumucio





ARC-2366 C-4 SKT  
UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 17, 1999

PTAS

ALZA CORPORATION  
PAUL L. SABATINE  
INTELLECTUAL PROPERTY DEPT. A1W  
950 PAGE MILL ROAD, PO BOX 10950  
PALO ALTO, CA 94303-0802



\*101002823A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/29/1999

REEL/FRAME: 9868/0097  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GUITTARD, GEORGE V.

DOC DATE: 03/25/1999

ASSIGNOR:

JAO, FRANCISCO

DOC DATE: 03/25/1999

ASSIGNOR:

MARKS, SUSAN M.

DOC DATE: 03/25/1999

ASSIGNOR:

KIDNEY, DAVID J.

DOC DATE: 03/22/1999

ASSIGNOR:

GUMUCIO, FERNANDO E.

DOC DATE: 03/25/1999

ASSIGNEE:

ALZA CORPORATION  
950 PAGE MILL ROAD  
PO BOX 10950  
PALO ALTO, CALIFORNIA 94303-0802

9868/0097 PAGE 2

SERIAL NUMBER: 09280309  
PATENT NUMBER:

FILING DATE: 03/29/1999  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS